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NOTICE OF ALLOWANCE AND FEE(S) DUE

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02/17/2004

MORGAN, LEWIS & BOCKIUS LLP 1701 MARKET STREET PHILADELPHIA, PA 19103-2921 EXAMINER GUPTA, ANISH

ART UNIT

T PAPER NUMBER

1654

DATE MAILED: 02/17/2004

APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.		CONFIRMATION NO.			
09/544,665	04/06/2000				Douglas Cines			9596-67U1		9220
TITLE OF INVENTION	COMPOSITIONS	AND	METHODS	FOR	PROMOTING	INTERNALIZATION	AND	DEGRADATION	OF	UROKINASE-TYPE

TITLE OF INVENTION: COMPOSITIONS AND METHODS FOR PROMOTING INTERNALIZATION AND DEGRADATION OF UROKINASE-TYPE PLASMINOGEN ACTIVATOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$0	\$665	05/17/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or <u>Fax</u> INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must 028977 7590 02/17/2004 have its own certificate of mailing or transmission. MORGAN, LEWIS & BOCKIUS LLP Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile 1701 MARKET STREET PHILADELPHIA, PA 19103-2921 transmitted to the USPTO, on the date indicated below (Depositor's name) (Signature) (Date ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR 9596-67U1 9220 09/544,665 04/06/2000 Douglas Cines TITLE OF INVENTION: COMPOSITIONS AND METHODS FOR PROMOTING INTERNALIZATION AND DEGRADATION OF UROKINASE-TYPE PLASMINOGEN ACTIVATOR SMALL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE APPLN. TYPE 05/17/2004 nonprovisional YES \$665 \$665 ART UNIT CLASS-SUBCLASS **EXAMINER** 514-016000 GUPTA, ANISH 1654 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single □ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent Fee Address" indication (or "Fee Address" Indication form attorneys or agents. If no name is listed, no name PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity **□** government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ Issue Fee A check in the amount of the fee(s) is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. □ Publication Fee □ Advance Order - # of Copies ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number ______(enclose an extra copy of this form). Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
09/544,665	04/06/2000	Douglas Cines	9596-67U1	9220			
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MORGAN, LEWIS & BOCKIUS LLP			GUPTA,	GUPTA, ANISH			
1701 MARKET ST PHILADELPHIA,			ART UNIT	PAPER NUMBER			
,			1654	<u>-</u> .			
			DATE MAILED: 02/17/200	4			

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

<u>-</u>		Application No.	Applicant(s)				
		09/544,665	CINES ET AL.				
Notic	of Allowability	Examin r	Art Unit				
		Anish Gupta	1654				
All claims being allowable herewith (or previously manner NOTICE OF ALLOWABII of the Office or upon petitions)	JG DATE of this communication appe , PROSECUTION ON THE MERITS IS ailed), a Notice of Allowance (PTOL-85) LITY IS NOT A GRANT OF PATENT RI on by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	blication. If not included will be mailed in due course. THIS				
 This communication is responsive to 11-19-03. The allowed claim(s) is/are 2-4,20 and 25. The drawings filed on are accepted by the Examiner. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). All Some* None The drawings filed on are accepted by the Examiner. All b) Some* 							
	ed copies of the priority documents have						
2. Certified copies of the priority documents have been received in Application No							
 Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). 							
* Certified copies not received: 5. Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.							
	on of the foreign language provisional a		noo a specific reference was included				
6. Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.							
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.							
7. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.							
 8. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No (b) including changes required by the proposed drawing correction filed, which has been approved by the Examiner. 							
(c) [including chan	ges required by the attached Examiner's	s Amendment / Comment or in the C	Office action of Paper No				
Identifying indicia such each sheet. Replaceme	as the application number (see 37 CFR 1 nt sheet(s) should be labeled as such in t	.84(c)) should be written on the drawir he margin according to 37 CFR 1.121(ngs in the front (not the back) of d).				
9. DEPOSIT OF and attached Examiner's com	d/or INFORMATION about the depo ment regarding REQUIREMENT FOR T	sit of BIOLOGICAL MATERIAL r HE DEPOSIT OF BIOLOGICAL MA	must be submitted. Note the TERIAL.				
Attachment(s)		_					
1☐ Notice of References	·		itent Application (PTO-152)				
	's Patent Drawing Review (PTO-948)		6⊠ Interview Summary (PTO-413), Paper No. <u>—</u> .				
3 Information Disclosus Paper No	re Statements (PTO-1449 or PTO/SB/08	77₁ 7⊠ Examiner's Amendm	7⊠ Examiner's Amendment/Comment				
	t Regarding Requirement for Deposit	8∐ Examiner's Statemer 9∐ Other .	nt of Reasons for Allowance				
	1/11/00		LEON B. LANKFORD, JR. PRIMARY EXAMINED				

U.S. Patent and Trademark Office PTOL-37 (Rev. 11-03)



Application/Control Number: 09/544,665

Art Unit: 1654

DETAILED ACTION

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312.

To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Quang Nguyen on 1-14-04.

The application has been amended as follows:

- 1. Claims 11-16 and 21-24 are canceled:
- 2. Claim 20 has been amended as follows:
- 20. A kit comprising a peptide having the amino acid sequence $X_1X_2X_3X_4X_5X_6X_7X_8$, wherein:
- X_1 is hydrogen or an amino-terminal blocking group, or one to twenty amino acid residues;
- X₂ is an amino acid selected from the group consisting of D, E, and R;
- X_3 is an amino acid selected from the group consisting of D and E;
- X_4 is I;
- X₅ is I;
- X_6 is M;
- X₇ is an amino acid selected from the group consisting of D, E, H, K, and R; and
- X_8 is hydrogen <u>or</u> a carboxyl-terminal blocking group, <u>or one to twenty amino acid residues</u>; and an instructional material for using the kit.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anish Gupta whose telephone number is (571) 272-0965. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brenda Brumback, can normally be reached on (703)306-3220. The fax phone number of this group is (703) 308-4242.



Application/Control Number: 09/544,665

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Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.